

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 01/10/2005

Robin M. Silva, Esq.  
DORSEY & WHITNEY LLP  
Four Embarcadero Center Suite 3400  
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04/14/2005 DENMANU2 00000069 09812034

01 FC:2501 700.00 DP  
02 FC:1504 300.00 DP  
03 FC:8001 30.00 DP

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Careyna Fujimoto	(Depositor's name)
<i>Careyna Fujimoto</i>	(Signature)
April 11, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/812,034	03/19/2001	Stephen L. Mayo	A-65353-6/RFT/RMS/RMK	3845

TITLE OF INVENTION: APPARATUS AND METHOD FOR AUTOMATED PROTEIN DESIGN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	04/11/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
KIM, YOUNG J	1637	702-019000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Dorsey &amp; Whitney LLP

2 Richard F. Trecartin

3 Robin M. Silva

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The California Institute of Technology

Pasadena, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-2319 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above).

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Timothy Worrall

Date April 11, 2005

Typed or printed name

Registration No. 54,552

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENT  
Docket No.: A-65353-6/RFT/RMS/RMK  
Matter No.: 468268-00019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MAYO et al.

Serial No. 09/812,034

Filed: March 19, 2001

For: APPARATUS AND METHOD FOR  
AUTOMATED PROTEIN DESIGN

Examiner: Kim, Young J.

Art Group No. 1637

Confirmation No.: 3845

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: April 11, 2005

Signature

*Careyna P. Fujimoto*

Careyna P. Fujimoto

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REPLY TO NOTICE OF ALLOWANCE & ISSUE FEE(S) DUE  
TRANSMITTAL

Sir:

In response to the Notice of Allowance and Fees Due mailed January 10, 2005, enclosed are the following documents:

- ☒ Completed Part B – Fee(s) Transmittal;
- ☒ Check for \$1030.00:
  - ☒ Issue fee \$700.00
  - ☒ Publication Fee \$300.00
  - ☒ Advance copies (10) \$ 30.00;
- ☐ Formal Drawings Sheets \_\_\_\_\_;
- ☐ Notice of Change in Small Entity Status;
- ☐ Newly Executed Declarations;

USSN: 09/812,034

Filing Date: March 19, 2001

- ☐ 37 C.F.R. § 1.321 Amendment; and  
☒ Return postcard.


The Commissioner is authorized to charge any additional required fees, or credit any overpayment to Dorsey & Whitney LLP Deposit Account No. 50-2319 (File No. 468268-00019; Docket No. A-65353-6/RFT/RMS/RMK).

Please direct any calls regarding the above-referenced application to the undersigned at (415)-781-1983.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: April 11, 2005

By:   
Timothy Worrall, Reg. No. 54,552 for  
Robin M. Silva, Reg. No. 38,304

**Customer No.: 32940**

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